

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Seth Marder; Joseph Perry  
Serial No.: Not Yet Assigned  
Filing Date: Herewith  
Title: TWO-PHOTON OR HIGHER-ORDER ABSORBING OPTICAL MATERIALS AND METHODS OF USE

UTILITY PATENT APPLICATION & FEE TRANSMITTAL  
(for nonprovisional applications under 37 CFR § 1.53(b))

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

**I. Elements of the Application**

- ☒ Application [ 0 ] page(s) of Cover Sheet  
[ 119 ] page(s) of Specification  
[ 32 ] page(s) of Claims (15 claims)  
[ 0 ] page(s) of Abstract  
[ 16 ] sheets of Drawings [ ] Formal [X] Informal
- ☒ Declaration and Power of Attorney [ ] page(s)  
[ ] Unexecuted [ ] Executed [X] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [ ] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Nucleotide and/or Amino Acid Sequence Submission:  
[ ] page(s) of Sequence Listing (paper copy)  
[ ] disk(s) containing Sequence Listing (computer readable copy)  
[ ] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix \_\_\_\_\_

**II. Claim for U.S. Priority**

- ☒ This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-Part  
of Prior Application No. 08/965,945 filed November 7, 1997. (35 USC § 120)
- ☐ This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial  
No. 60/\_\_\_\_\_ filed \_\_\_\_\_. (Provisional Application)
- ☐ The entire disclosure of the prior application referenced above, is considered as being part of the application  
filed herewith and is herein incorporated by reference in its entirety.

**III. Claim for Foreign Priority**

- ☐ This application claims the benefit under 35 USC § 119 of Prior Application No. \_\_\_\_\_  
filed \_\_\_\_\_.
- ☐ Certified Copy of Priority Document [ ] page(s)
- ☐ English Translation of Priority Document [ ] page(s)

**IV. Accompanying Documents**

- ☒ Assignment Papers [X] page(s) of Recordation Cover Sheet [X] 3 page(s) of Assignment was previously filed.
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ ] Verified Small Entity Statement was previously filed in Prior Application No. 08/965,945 on November 7, 1997.
- ☒ Information Disclosure Statement [3] page(s) of PTO-1449 [ ] copies of IDS References.
- ☒ A Preliminary Amendment [25] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☒ Other Substitute Specification and clean claims (117 pages) (specify)
- ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)

**V. Fee Calculation**

<input checked="" type="checkbox"/> The following fees are submitted:					CALCULATIONS
		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$	
<b>BASIC NATIONAL FILING FEE</b>		\$ 710.00	\$ 355.00	\$	
<b>EXTRA CLAIMS FEE</b>					
<b>CLAIMS</b>	<b># FILED</b>	<b># EXTRA</b>	<b>RATE</b>	<b>RATE</b>	
Total Claims	7 - 20 =		× \$18.00	× \$ 9.00	
Independent claims	7 - 3 =	4	× \$80.00	× \$40.00	
<b>MULTIPLE DEPENDENT CLAIM(S)</b>					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		\$270.00	\$135.00	\$	
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00				\$	
<b>OTHER FEES</b> _____ (specify)				\$	
<b>OTHER FEES</b> _____ (specify)				\$	
<b>TOTAL FEES =</b>				<b>\$495.00</b>	

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 50-1193, Docket No. 21182-7067, in the amount of \$ 495.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, Docket No. 21182-7067.  
*A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

Patricia Coleman James  
 McCutchen, Doyle, Brown & Enersen, LLP  
 Three Embarcadero Center, Suite 1800  
 San Francisco, CA 94111  
 Telephone: (415) 393-2000  
 Facsimile: (415) 393-2286

SIGNATURE

DATE

Patricia Coleman James  
 NAME

37,155  
 REGISTRATION NUMBER